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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

David M. FINK, et al.

Examiner: SHAMEEM, Golam M.

Application No.: **10/088,250**

Art Unit: **1626**

Filed: **December 23, 2002**

Title: **THIENOSOXAZOLYL-AND
THIENYL PYRRAZOLYL PHENOXY
SUBSTITUTED PROPYL DERIVATIVES
USEFUL AS D4 ANTAGONISTS**

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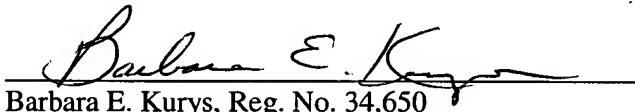
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Respectfully submitted,


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Aventis Docket No. HMR2023 US PCT

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INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.56, 1.97 AND 1.98

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Applicants submit herewith patents, publications, and other information of which they are aware, which they believe may be material, as defined in 37 C.F.R. 1.56(b), to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56(a). While the information referred to in this Information Disclosure Statement may be material pursuant to 37 C.F.R. 1.56(b), the filing of this Information Disclosure Statement is not intended to, pursuant to 37 C.F.R. 1.97(h), constitute an admission that any patent, publication or other information referred to is, or is considered to be, material to the patentability of this invention. Pursuant to 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information exists.

(a) This Information Disclosure Statement is filed within the period set forth in §1.97(b) because it accompanies the new patent application submitted herewith, is filed within three months of the filing date of a national application or within three months of the date of entry of the national stage as set forth in §1.491 in an international application, or is believed to be filed before the mailing date of a first Office Action on the merits, whichever event occurs last. However, in the event that the first office action has been mailed, the Commissioner is authorized to charge any fees under 37 C.F.R. 1.17(p) or credit any overpayment to Account No. **18-1982**.

(b) This Information Disclosure Statement is filed after the period set forth in 37 C.F.R. 1.97(b), but is believed to be filed before the mailing date of a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first.

(1) The undersigned attorney certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement;

(2) The undersigned attorney certifies that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned attorney after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this statement; or

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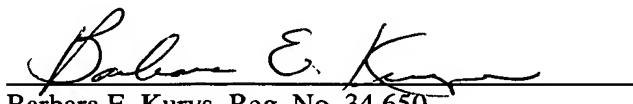
A concise explanation of the relevance of some or all of the items listed on the attached PTO-1449 (modified) is as follows:

The items listed with an asterisk on the attached PTO-1449 (modified) have been previously submitted by the examiner or the applicant in related applications of this series:
10/088,369

Therefore, a copy of the reference(s) are not enclosed with this Information Disclosure Statement.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	10/088,250
Filing Date	12-23-2002
First Named Inventor	FINK, et. al.
Group Art Unit	1626
Examiner Name	SHAMEEM, Golam M.
Attorney Docket Number	USHMP2023-US-PCT

U.S. PATENT DOCUMENTS

Examiner Initials'	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	*	4,075,227		JONES, et. al.	02-21-1978	
	*	4,085,114		ADACHI, et. al.	04-18-1978	
	*	4,355,037		STRUPCZEWSKI, et. al.	10-19-1982	
	*	4,408,053		STRUPCZEWSKI, et. al.	10-04-1983	
	*	4,408,054		STRUPCZEWSKI, et. al.	10-04-1983	
	*	4,427,691		SHUTSKE, et. al.	01-24-1984	
	*	4,452,804		SHUTSKE, et. al.	06-06-1984	
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	*	5,593,994		BATT, et. al.	01-14-1997	
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	*	5,856,503		AEBI, et. al.	01-05-1999	

FOREIGN PATENT DOCUMENTS

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	10/088,250
				Filing Date	12-23-2002
				First Named Inventor	FINK, et. al.
				Group Art Unit	1626
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Sheet	2	of	5	Attorney Docket Number	USHMR2023 - US - PCT

U.S. PATENT DOCUMENTS

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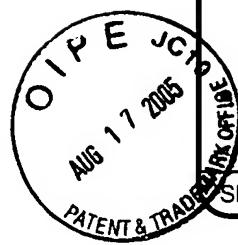
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Sheet	3	of	5	Application Number	10/088,250
				Filing Date	12-23-2002
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				Group Art Unit	1626
				Examiner Name	SHAMEEM, Golam M.
				Attorney Docket Number	USHMR2023 - US - PCT

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS		
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	*	ASGHARI, V., et. al., Dopamine D4 Receptor Repeat: Analysis of Different Native and Mutant Forms of the Human and Rat Genes, Molecular Pharmacology Vol. 46, pp. 364-373 (1994)
	*	BASSITT, D.P., et. al., Clozapine Efficacy in Tardive Dyskinesia in Schizophrenic Patients; European Archives of Psychiatry and Clinical Neuroscience Vol.248, pp. 209-211 (1998)
	*	BENJAMIN, J., et. al., Population and Familial Association between the D4 Dopamine Receptor gene and Measures of Novelty Seeking, Nature Genetics Vol. 12, pp. 81-84 (1996)
	*	BRYCE, M., et. al., Synthesis and Cyclic Voltammetric Behaviour of Some 3-Substituted Thiophenes and Pyrroles: Precursors for the Preparation of Conducting Polymers, Synthetic Metal, Vol. 26, (1988) pp. 153- 168
	*	CORBETT, R., et. al., Iloperidone: Preclinical Profile and Early Clinical Evaluation, CNS Drug Review Vol.3, No. 2, pp. 120 -147 (1997)
	*	CRENSHAW, R.R., et. al., Potential Antifertility Agents, 1. Substituted Diari Derivatives of Benzo[b]thiophenes, Benzo[b]furans, 1H-2-Benzothiapyrans, and 2H-1-Benzothiapyrans1, Journal of Medicinal Chemistry, Vol. 14, No.12, (1971) pp. 1185-1190
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	*	ELWORTHY, T. R., et. al., N-Arylpiperazinyl-N-Propylamino Derivatives of Heteroaryl Amides as Functional Uroselective a1-Adrenoceptor Antagonists, Indian Journal of Chemistry - part B Organic Including Medicinal Vol. 40, pp.2674-2687, (1997)
	*	ERENBERG, G., et. al. , Giles De La Tourette's Syndrome: Effects of Stimulant Drugs, Neurology Vol.35, No. 35, pp.1346-1348, (1985)

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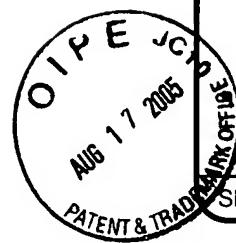
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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*		FACTOR, S.A., et. al., The Emerging Role of Clozapine in the Treatment of Movement Disorders, Movement Disorders Vol. 12, No.4 (1997) pp. 483-496	
*		FELDPAUSCH, D.L., et. al., The Role of Dopamine D4 Receptor in the Induction of Behavioral Sensitization to Amphetamine and Accompanying Biochemical and Molecular Adaptations, Journal of Pharmacology and Experimental Therapeutics Vol. 266, pp.497-508 (1998)	
*		FRIEDMAN, J.H., et. al., Substituting Clozapine for Olanzapine in Psychiatrically Stable Parkinson's Disease Patients: Results of an Open Label for Pilot Study, Clinical Neuropharmacology, Vol. 21, No. 5 pp. 285-288 (1998)	
*		GRICE, D.E., et. al., Linkage Disequilibrium between an Allele at the Dopamine D4 Receptor Locus and Tourette Syndrome, by the Transmission-Disequilibrium Test, American Journal of Human Genetics Vol.59, pp.644-652 (1996)	
*		HELSLEY, G.C., et. al., Piperidylalkylindoles. 1. Hypotensive Activity of 3-[2-(Phenoxy)piperidyl]ethyl]Indoles, Journal of Medicinal Chemistry, (1978), Vol.21, No.3 pp. 309-312	
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*		LAHTI, R.A., et. al., Direct Determination of Dopamine D4 Receptors in Normal and Schizophrenic Postmortem Brain Tissue: A [3H]NGD-94-1 Study, Molecular Psychiatry Vol. 3, pp. 528-533 (1998)	
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*		RUBINSTEIN, M., et. al., Mice Lacking Dopamine D4 Receptors are Supersensitive to Ethanol, Cocaine, and Methamphetamine, Cell, Vol.90, pp. 991-1001 (1997)	

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	★	SALL, D., et. al., Dibasic Benzo[b]thiophene Derivatives as a Novel Class of Active Site-Directed Thrombin Inhibitors. 1. Determination of the Serine Protease Selectivity, Structure - Activity Relationships, and Binding Orientation, Journal of Medicinal Chemistry Vol.40, No. 22, (1997) pp. 3489 - 3493		
	★	SCHODER, J.; et. al. , D2 Dopamine Receptor Up-Regulation, Treatment Response, Neurological Soft Signs, and Extrapyramidal Side Effects in Schizophrenia: A Follow-Up Study with 123I-Iodobenzamide Single Photon Emission Compputed Tomography in the Drug Naive State and after Neuroleptic Treatment, Society of Biological Psychiatry Vol.43, pp.660-665, (1998)		
	★	SEEMAN, P., et. al., Atypical Neuroleptics Have Low Affinity for Dopamine D2 Receptors or Are Selective for D4 Receptors, Neuropsychopharmacology Vol.16, Iss. 2, pp. 92-135 (1997)		
	★	SEEMAN, P., et. al., Schizophrenia: Elevation of dopamine D4-like sites, using [3H]Nemonapride and [125I]Epidepride , European Journal of Pharmacology Vol.286 (1995) R3-R5		
	★	SHIELDS, P.G., et. al., Dopamine D4 Receptors and the Risk of Cigarette Smoke in African-Americans and Caucasians, Cancer Epidemiology, Biomarkers & Prevention Vol. 7, pp.453-458 (1998)		
	★	VAN TOL, H.M., et. al., Cloning of the gene for a human dopamine D4 receptor with high affinity for the antipsychotic clozapine, Letters to Nature, Vol. 350, 1991, pp 610-614		
	★	VAN TOL, H.M., et. al., The Dopamine D4 Receptor: A Novel Site for Antipsychotic Action , Clinical Neuropharmacology Vol. 18, No.1 pp. 5143-5153 (1995)		
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